Conditional Modeling in an Equation-Based Environment

V. Rico-Ramírez, B. A. Allan and A. W. Westerberg¹

Institute for Complex Engineered Systems Carnegie Mellon University, Pittsburgh, PA 15213 Technical Report **ICES 06-242-98**

Abstract: We identify the modeling capabilities needed for the efficient representation of conditional models in an equation-based environment and describe modeling tools for the performance of each of these tasks: conditional configuration of a model structure, conditional compilation and conditional execution of procedural statements. We also describe the details of the computer implementation of these tools and show how the expressiveness of an equation-based modeling language increases with their incorporation. Several chemical engineering examples are presented to demonstrate the scope of application of the proposed extensions.

Keywords: Modeling, Conditional models, Equation-based environment.

^{1.} Author to whom all correspondence should be addressed.

Table of Contents

1	INTR	INTRODUCTION			
2	BAC	BACKGROUND			
3	CON	ONDITIONAL MODELING TOOLS			
	3.1	CONDITIONAL CONFIGURATION OF A MODEL STRUCTURE.	5		
	3.	.1.1 THE WHEN STATEMENT	6		
	3.	.1.2 LOGICAL RELATIONS	7		
	3.	.1.2 THE CONDITION STATEMENT	8		
	3.2	CONDITIONAL COMPILATION.	9		
	3.3	CONDITIONAL EXECUTION OF PROCEDURAL CODE	11		
4	Deta	DETAILS OF A COMPUTATIONAL IMPLEMENTATION			
	4.1	THE WHEN CLASS	12		
	4.	.1.1 FEEDING A CONDITIONAL MODEL TO A NONLINEAR			
		SOLVER	14		
	4.2	CONDITIONAL COMPILATION: THE SELECT STATEMENT	15		
5	EXA	MPLES OF APPLICATION	16		
	5.1	THE WHEN STATEMENT	17		
	5.2	LOGICAL RELATIONS	20		
	5.3	THE SELECT STATEMENT	20		
	5.4	THE SWITCH STATEMENT	20		
6	CON	CLUSIONS	21		
7	ACK	ACKNOWLEDGMENTS			
8	Refe	REFERENCES			

1 INTRODUCTION

At the lowest level, process models are represented by a large set of variables and a large system of linear and/or nonlinear equations that relate them. In the equational-based modeling approach the definition of the system of equations is independent of any particular application or solution algorithm that may be used for their solution. For that reason, the solution to equation-based models has been demonstrated as effective in solving the simulation, optimization, parameter estimation and data reconciliation problems all using a single set of equations (Allan, 1997). In recent years, the equational-based modeling community has focused on the development of tools for the formal definition of models describing the behavior of process systems (Pantelides and Barton, 1993). However, while many currently available equation-based modeling systems have been reported in the literature, only a few of them have given attention to conditional models (Pantelides, 1988; Piela, 1989; Barton, 1992).

A conditional model as defined by Zaher (1995) and Grossmann and Turkay (1996) consists of a system of equations expressed by two sets: a globally defined invariant set of equations and a variant (or locally defined) set of conditional equations which are expressed as disjunctions. Grossmann and Turkay (1996) show that a conditional model can be represented as the system of disjunctive equations:

$$h(x) = 0$$

$$\bigvee_{i \in D_{k}} \begin{bmatrix} r_{ik}(x) = 0 \\ g_{ik}(x) \le 0 \end{bmatrix} k \in K$$
(1)

where h(x) and $r_{ik}(x)$ represent the invariant and the variant sets of equations respectively, K represents the set of disjunctions and the index i is used to indicate the i-th term in each disjunction D_k . The domain of validity of each set of variant equations is given by the inequality constraints involving $g_{ik}(x)$. Examples of conditional models in chemical engineering are systems involving physicochemical discontinuities such as phase transitions.

The representation of conditional models is particularly difficult because it is necessary to declare alternative modeling equations which become active depending on the values of the modeling variables. Moreover, the solution to conditional models involves simultaneously

selecting the equations to be solved and solving them.

In this work, we describe the incorporation of a series of tools which enables the user of an equation-based modeling environment with the capability of representing complex conditional models. Following the equation oriented approach, this representation is intended to be independent of any particular application or of any solver or algorithm used for finding a solution to the system of equations. For the purpose of model representation, in this work we extend the scope of the definition of a conditional model. Here, we consider as a conditional model any problem including a set of disjunctive statements as part of its formulation. In other words, the domain of validity of each particular set of alternatives equations does not have to be given by inequality constraints. Any kind of logical, integer, or binary variables can be used for that purpose instead. With that in mind, the expressiveness of our modeling tools can also be applied to problems on which the selection of alternative configurations is based on algorithmic and heuristic decisions, as in the case of pure MINLP problems; as a consequence, potential applications of the new modeling capabilities vary from the simple substitution of one equation for another (as in the case of the laminar-turbulent flow transition) to the substitution of a section of a chemical plant for another (as can be required while analyzing and initializing a superstructure).

2 BACKGROUND

Previous implementations of conditional statements in an equation-based modeling environment have been reported. One such mechanism is the IF-THEN-ELSE construct of *SpeedUp* described by Pantelides (1988):

```
IF logical_condition THEN
equation1
ELSE
equation2
ENDIF
```

where both the logical conditions and the equations are expressed in terms of the model variables. Such a construct defines two system states corresponding to the IF and the ELSE clauses respectively. Multiple states may be described by nesting several IF statements. Similarly, Barton (1992) and Barton and Pantelides (1994) incorporated the CASE equation into *gPROMS*. The CASE equation is used to define both the appropriate modeling equations in each state and the logical conditions for transitions among states. It covers multiple states within only one statement and has the advantage of successfully representing irreversible discontinuities.

There is a major difficulty in both of the previous approaches as tools for conditional modeling. They only allow the substitution of one list of equations (or arrays of equations) for another. In an equation-based modeling environment in which object oriented concepts like hierarchy (building complex models from small models) and inheritance are constantly in use, this approach places a significant limitation on one's modeling efficiency. For instance, it makes it very difficult to model unit replacement when searching over a superstructure.

3 CONDITIONAL MODELING TOOLS

Equation oriented modeling tools support the implementation of unit models and their incorporation in a model library by means of a declarative modeling language; declarative in the sense of explicit and symbolic, encapsulating the knowledge about models (Marquardt, 1996). On the other hand, methods must also be attached to a model definition for the numerical processing of the model equations.

In this paper, we identify three modeling capabilities which support the efficient development of conditional models in both the declarative definition of equation-based models and the procedural execution of methods:

- Conditional configuration of the model structure.
- Conditional compilation.
- Conditional execution of the procedural code of methods.

In the remaining of this section, we describe the syntax and semantics of the modeling tools which allow the practical implementation of those modeling capabilities.

3.1 CONDITIONAL CONFIGURATION OF A MODEL STRUCTURE

Several numerical algorithms and methodologies for the solution of conditional models have recently become available. See for example Zaher (1995), Grossmann and Turkay (1996) and Turkay and Grossmann (1996). A common characteristic of any of those methodologies is the fact that the dynamic switching among alternative model configurations is required during the solution process. Hence, a declarative modeling language has to provide a means to represent all the alternative structural configuration of the problem as well as the conditions which trigger the switching among them.

3.1.1 The WHEN Statement

Originally, the syntax for incorporating conditional dependence of some equations of the model in an equation-based environment was suggested by Piela (1989). That syntax is very similar to the CASE equation of gPROMS and suffer from the same limitations. Instead, in this work we represent that conditional dependence by using an object oriented formalism. In an object oriented approach any real or abstract entity is considered an object and any object can be referenced by a unique identifier (Marquardt, 1996). For instance, in an object oriented mathematical modeling language representing a model superstructure, a simple equation is considered as an object and so is any submodel within the superstructure. Based on this concept, we defined the syntax of a conditional statement able to represent alternative configurations of a model, the WHEN statement:

The following are observations about the previous definition:

1 The declaration of the objects referenced within the CASEs of the WHEN statement is independent of such a statement. As mentioned before, the solution algorithms of conditional

models requires having available the data structures of all those objects.

- 2 A list of variables is used to define the applicability of each of the alternative configuration. The variables in that list can be of any type among boolean, integer or symbol or any combination of them. By doing that, we place problems like logic based model and MINLP formulations within our scope of application.
- 3 Practically speaking, to "USE" an object means that the variables and equations contained in that object will become an active part of the system of nonlinear equations representing the current configuration of the problem.
- 4 Complex re/configurations of the problem are readily represented because of the object oriented approach of the statement.

The syntax of the WHEN statement given above can successfully represent the conditional dependence of alternative sets of equations and variables. However, it does not say anything about (and is independent of) how to represent the conditions that trigger the dynamic switching among configurations.

3.1.2 Logical Relations

The way in which existent solution algorithms select a particular configuration varies. MINLP algorithms employ the manipulation of binary variables based on heuristics. Some other approaches use logic for improving the solution of conditional models (Raman and Grossmann, 1994; Turkay and Grossmann, 1996). Also, the boundary crossing algorithm given by Zaher (1991) expresses the truth value of inequality constraints as boolean values of logical conditions.

In general, the use of binary, integer or boolean variables is already well supported by existing equational-based approaches. Nevertheless, here we describe our approach to the incorporation of logical relations as a declarative modeling tool. This Incorporation enables a equational-based environment with the ability to deal with the logic based formalisms required by most of the solution algorithms mentioned above.

In this implementation of logical relations, we maintain the equational-based approach. That is, the user states the logical relations that must be true at the solution to the problem but not how to solve them. Each logical equation has a residual attached to it. This residual will indicate if the expression is satisfied or not. Therefore, this interpretation requires that we provide a solver

7

that knows how to deal with logical relations, insisting on the residual of such equations being true as they look for a solution. The work of Raman and Grossman (1993) can be used as a starting point for such a solver implementation. They used formal procedures for performing logic inference depending on the way in which the logical relations are present (Conjunctive Normal form (CNF) or Disjunctive Normal Form (DNF)).

The syntax for the representation of logical relations is rather simple:

```
logical term <==> logical term;
```

The symbol "<==>" indicates that we have a logical relation which equals the two logical terms. In each of the two terms, logical operators among boolean variables such as AND, NOT, and OR are allowed. Equality in a logical equation can also be interpreted as an *if and only if* implication between two logical terms expressed in clausal form. Also, it should be noted that we can express any logical clause using the proposed syntax by simply writing the clause in one of the terms, and the constant boolean value TRUE in the other term of the logical equality. It is important to emphasize that by checking the value of the appropriate boolean variables after each iteration, the incorporation of logical relations will allow, if required, automatic change of the structure of the problem in an iterative solution scheme.

3.1.3 The CONDITION Statement

While defining the syntax of a logical relation, we limited ourselves to use only boolean variables, pursuing consistency and readability of the declarative code. We stated before, however, that in some solution algorithms for conditional models the truth value of a boolean variable may depend on constraints expressed in terms of the real variables of the model. As a cure for that limitation, we propose the definition of the CONDITION statement and the SATISFIED logical operator as follows:

```
CONDITION
    identifier: real_expression;
END CONDITION;
boolean_variable == SATISFIED(identifier,tolerance);
```

The real expression is defined and labeled inside the CONDITION statement and then the logical

operator SATISFIED gives the truth value of such an expression (the residual of the real expression is compared against the tolerance defined in the SATISFIED operator). The benefits of the CONDITION statement are:

- 1. It contributes to the separation of equations into those in given terms of real variables and those given in terms of discrete variables, making the declarative code easier to read and understand.
- 2. It makes the life of a compiler easier. Since the logical expressions are decoupled from the expressions on which they depend, it avoids relations containing implicit relations.
- 3. It provides a very simple way of saying that the relations included in the statement are not going to be solved. They are not a part of our nonlinear system of equations and are used only as expressions with a truth value associated to them.

As a summary of this subsection, the WHEN statement provides an efficient means to declare alternative modeling configurations, while the conditions triggering the reconfiguration of the system can be represented by the use of logical relations and the CONDITION statement. When working together, these modeling capabilities meet the representation needs of most of the solution algorithms for conditional models reported in the literature.

3.2 CONDITIONAL COMPILATION

Aside from the flexibility that conditional statements such as the WHEN statement gives to the configuration of a model structure, another application of conditional tools is the economy of programming. An example commonly occurring in chemical engineering is the selection of the thermodynamic model to be used for equilibrium calculations. In general, it is convenient to code all of the alternative methods so that, depending on the species appearing in the equilibrium system, we can select the method most appropriate or reliable.

In this kind of problems the decision as to which configuration we are going to use has to be made at the moment in which we create the model to be solved and not during the solution process. In other words, what we require is building only the appropriate configuration of the problem rather than having available all the possible configurations.

Here we propose a modeling tool to incorporate conditional compilation into an equation-

based environment, the SELECT statement. While this conditional tools is flexible enough to represent all of the alternatives, its presence will indicate that only those alternatives consistent with the model data will become available after the process of instantiation of the model.

Even though the syntax for the SELECT statement is similar to that described for the WHEN statement, some important differences can be identified:

- In the WHEN statement the declaration of the object is external to the conditional statement since of all the alternatives are going to be created anyway. In the SELECT statement the actual declaration of an object (or any other declarative statement affecting objects) is done within each CASE of the conditional statement, explicitly discriminating among alternative configurations.
- The selection among alternatives in the SELECT statement depends on constant booleans, integer or symbols. Since these values imply a one time structural decision they must not be modified during the solution of the problem.

Hence, the following is the syntax proposed for the conditional compilation tool:

Summarizing, the SELECT statement provides the capability of conditional compilation. It allows the representation of structural alternatives pursuing the economy programming but, since only the desired data structure is created, it does not affect the computational requirements of the model.

3.3 CONDITIONAL EXECUTION OF PROCEDURAL CODE

Because of the use of conditional statements in the declarative description of a model, it must also exist a similar feature which gives the user the ability of the conditional execution of methods. For instance, each alternative configuration of a model may require different initialization and different selection of the independent variables for the solution process. Hence, we propose a conditional SWITCH statement as follows:

Basically, this statement has the same application as those conditional statements already existent in procedural modeling languages like C and FORTRAN. The procedural statements in each of the cases do not involve new object definitions, they are only useful for the numerical processing of objects already created.

4 DETAILS OF A COMPUTATIONAL IMPLEMENTATION

The language tools introduced in the previous section provide a general framework for the representation of conditional models. In this section we present an overview of the implementation details and issues that had to be addressed in order to get a prototype for testing the scope and application of the modeling tools.

Some of the main issues in the computer implementation of the conditional tools are:

- The implementation of the WHEN statement must provide an efficient means to generate all of the possible alternative configurations of the problem. That is, the combinatorial nature of the problem must be encapsulated without being memory intensive.
- The solution to a conditional model will reduce to solving a system of equations in which the

variables and equations of the system may constantly change during the solution process. The issue here is how we are going to supply a conditional modeling solver with the correct set of variables and equations.

• The development of an approach to the implementation of conditional compilations in a declarative modeling language is a hard problem by itself.

4.1 THE WHEN CLASS

We keep the object oriented philosophy through the computer implementation of a tool for conditional configuration o the model structure. See Marquardt (1996) for a thorough review of this methodology. In a typical object oriented modeling environment all objects which share the same set of attributes can be viewed as an instance of a class (or type). Each model is a structured class built hierarchically from instances of other models or elementary classes.

An early approach for the implementation of conditional modeling tools was described by Epperly (1988). He proposed to build a complete instance tree for each CASE within the conditional statement. However, he also recognizes the combinatorial nature of that approach that makes it unacceptable; for example, for a type containing two conditional statements each having three CASEs, nine complete instance trees would be created.

In this work, we introduce the definition of an elementary class, the WHEN class. Instances of this class will allows us to create a single instance tree in which all the structural alternatives are embedded. Figure 1 shows our approach to the implementation of a WHEN instance. A WHEN instance has only one parent, a MODEL instance. Basically, a WHEN instance is constituted by two lists of pointers: a list of pointers to instances of the conditional variables on which the WHEN statement depends, and a list of pointers to CASE structures. At the same time, each CASE structure contains a list of values and another list of pointers to instances. The instances for a CASE correspond to the instances of objects (relations, arrays of relations, models, array of models) that will be "active" when the values of the CASE list of values matches the current values of the conditional variables. Early approaches to the implementation show us that, in order to efficiently support refinement and merging operations in an object oriented environment representing conditional models, the instances referenced by a WHEN instance have to be able to point back to the WHEN instance. Therefore, all these instances (atoms, constants, models, relations) should

have a list of pointers to WHEN instances.



FIGURE 1 WHEN instance implementation

With this implementation, the data structures required for all the alternative configurations are available (*i. e.* all the objects referenced in each CASE are compiled). By visiting the instance

tree and analyzing all the WHEN statements in it, we can set as "active" only the parts of the problem corresponding to the configuration consistent with the current values of the conditional variables.

4.1.1 Feeding a Conditional Model to a Nonlinear Solver

The implementation of the WHEN statement in an equation-based environment would allow us to have available the data structure for all the variables and equations of the conditional model. Therefore, the first step in the implementation of a conditional solver is to decide how the solver is going to be fed with the correct structure and how to change this structure efficiently in an iterative solution scheme. To perform this task, we will use the notion of active equation and active variable. By active we mean "it is part of the problem currently being solved." Computationally speaking, to set a relation as active or inactive implies a simple bit operation. The following steps provide a mechanism to select the structure of the problem:

- 1. Initially, we consider all the equations resulting from the compilation as active.
- 2. Then, we set as inactive all of the equations referenced within a WHEN statement. The equations set as inactive in this step constitute the variant set of equations. All the equations which remain active constitute the invariant set of equations.
- 3. We analyze the WHEN statements. According to the current values of the variables on which each WHEN statement depends, we determine which of its CASEs applies. The equations stated within such a CASE are set as active.
- 4. All the variables incident in the active set of equations are active. The current problem to be solved consists of the active set of equations and variables.

Figure 2 shows the application of the previous steps to the example of the fluid flow transition. The mechanism outline above is independent of a particular solver or solution algorithm. However, we must emphasize that a change in the configuration of the problem during an iterative solution process may also cause a change in the partitioning of the variables and in the partitioning of the equations. Therefore, any solver using that mechanism must have that into consideration. Zaher (1995) developed algorithms for simplifying the partitioning of variables and equations in a conditional model.

14

4.2 CONDITIONAL COMPILATION: THE SELECT STATEMENT

During the process of instantiation of a model, it is necessary to differentiate between those objects that are not instantiated because they are defined within nonmatching CASEs of a SELECT statement from those objects that are not instantiated because a deficiency in their declarative definition. In order to do that, we define an elementary "dummy" class. It is necessary to build only one "universal" instance of this class. Then, the dummy instance becomes a place holder for all the objects defined in all the nonmatching CASEs of the SELECT statements. Moreover, statements in the nonmatching CASEs of the SELECT statements, merging, etc.). On the other hand, statements in the matching CASEs are executed as if they were defined outside the SELECT statement. Figure 3 shows a graphic explanation of the process of instantiation in conditional compilation.

1 . Initially all the equ	ations are active:	Flow transition:			
inveq		inveq: $Re = \frac{1}{\sqrt{f}} \cdot \left(\frac{2 \cdot D^3 \cdot \rho \cdot \Delta P}{\mu^2 \cdot L}\right)^{1/2}$			
vareq1		vareq1: $Re = 64/f$			
vareq2		vareq2: $Re = (0.206307/f)^4$			
2 . Equations stated in the CASEs of a					
WHEN statement	are set as inactive:	WHEN laminar			
ACTIVE inveq ↓	INACTIVE vareq1 vareq2	CASE TRUE: USE vareq1; CASE FALSE: USE vareq2; END;			
Invariant Set	Variant Set				
3. Analyze WHENs					
ACTIVE inveq vareq1	INACTIVE vareq2	laminar := TRUE;			
4 . Incident variables in active equations are active					

FIGURE 2 Feeding a conditional model to a nonlinear solver

5 EXAMPLES OF APPLICATION

One of the goals of our research group has been to improve the ability to develop and solve process models. The main result of this effort has been the development of ASCEND (Piela *et al.*, 1991). ASCEND is both an object oriented mathematical modeling environment and a solving and debugging engine. Westerberg *et al.* (1994) discuss the essential features of the current ASCEND system and present several ways in which we can improve it to solve larger models and to increase its scope as a modeling environment. We implemented the conditional modeling tools explained above into the ASCEND modeling environment. In this section, we show some examples to illustrate the expressiveness of the new modeling capabilities.



FIGURE 3 Instantiation process in conditional compilation

5.1 THE WHEN STATEMENT

Figure 4 shows two partial ASCEND models in which the WHEN statement is used, each in a different manner.

```
(a)
                                                        (b)
laminar
            IS_A boolean;
                                           method
                                                              IS_A symbol;
Re,f,k
            IS A factor;
                                            simplified_flash IS_A VLE_flash;
                                           rigorous flash
                                                              IS A td VLE flash;
eq1: Re = 64/f;
eq2: Re = (0.206307/f)^4;
                                           WHEN (method)
                                                  CASE 'rigorous':
WHEN (laminar)
                                                        USE rigorous_flash;
      CASE TRUE:
                                                  CASE `simplified':
                                                        USE simplified flash;
            USE eq1;
      CASE FALSE:
                                            END WHEN;
            USE eq2;
END WHEN;
```

FIGURE 4 Two different applications of the WHEN statement.

In case (b) the value of the symbol 'method' is used to select between two alternative configurations of the problem, a flash calculation assuming constant relative volatility (VLE_flash) or a flash calculation using a more rigorous thermodynamic calculation (td_VLE_flash). In this manner, one can readily change the number of variables and equations describing the model. Which option is going to be used and, therefore, the value of the symbol 'method', is a user decision. For example, the user may select the simplified model when looking for good initial values for the variables and then switch to the rigorous model simply by changing the value of the symbol 'method' he had selected. Once a configuration has been select, it will be kept unless the user decides to change it. Note that the user does not have to recompile the model to switch. Since the system has compiled the data structure for both of the problems the user can readily switch back and forth between the simplified model and the more complicated one.

On the other hand, in case (a) we cannot expect the boolean value of the variable laminar to be a user decision. Its value will depend on the value of the Reynolds number which is an unknown in the problem. Actually, the value of the variable laminar will be the truth value of the expression Re \leq 2100. In an iterative solution scheme, we will expect that the value of the boolean variable laminar will change, and so will the structure of the system of equations that we have to

solve.

Another example of the application of the WHEN statement is the synthesis of process networks using superstructure optimization. We developed a simplified model for the superstructure given in Figure 5, taken from the work of Turkay and Grossmann (1996). In this example there are two alternative feedstocks, two possible choices of the reactor and two choices of the compression systems. Therefore, there are $2^4 = 16$ feasible configurations for the problem.



FIGURE 5 Superstructure taken from Turkay and Grossmann (1996).

All 16 configurations are encapsulated in one ASCEND model containing 4 WHEN statements which depend on the value of 4 boolean variables. Figure 6 shows this model. The procedural section of the model and the model for each unit operation has been omitted for simplicity.

We have tested the mechanism to pass the correct submodel to a solver suggested in section 4.1.1 on several small problems. As an example, we applied it to the system presented in Figure 5 and Figure 6. The value of the four boolean variables determine the structure of the problem solved. As mentioned above, the values of the boolean variables can be defined interactively by the user, but they also could be defined by some logic inference algorithm which would allow the automatic change of the structure of the problem. The nonlinear system contains 137 invariant equations and 68 variant equations for a total of 205. The configuration defined by one of the feeds, two single-stage compressors and one of the reactors contains 169 equations, the 137 invariant and 32 active equations out of the 68 variant equations. Switching from one

structure to another is done without the need of recompilation and, since to reconfigure the system requires only rebuilding several list of pointers, it is computationally very efficient.

```
MODEL flowsheet;
(* units *)
                                           IS_A cheap_feed;
        f1
        f2
                                           IS_A expensive_feed;
        cl,c2
                                           IS_A single_compressor;
        s1,s2
                                           IS_A staged_compressor;
        r1
                                           IS_A cheap_reactor;
                                           IS_A expensive_reactor;
        r2
        co1,co2
                                           IS_A cooler;
        h1,h2,h3
                                           IS_A heater;
        f11
                                           IS_A flash;
        sp1
                                           IS_A splitter;
                                           IS A mixer;
        m1
        v1
                                           IS_A expansion_valve;
(* boolean variables *)
        select_feed1,select_single1
                                           IS_A boolean_var;
        select_cheapr1,select_single2
                                          IS_A boolean_var;
(* define sets *)
        ml.n_inputs :==2;
        spl.n_outputs :== 2;
(* wire up flowsheet *)
        fl.stream, f2.stream, cl.input, sl.input
                                                            ARE_THE_SAME;
                                                            ARE THE SAME;
        cl.output, sl.output, ml.feed[2]
        ml.out,col.input
                                                            ARE_THE_SAME;
        col.output, hl.input
                                                            ARE THE SAME;
        hl.output, rl.input, r2.input
                                                            ARE_THE_SAME;
        rl.output, r2.output,v1.input
                                                            ARE_THE_SAME;
                                                            ARE_THE_SAME;
        v1.output,co2.input
                                                             ARE_THE_SAME;
        co2.output, fll.feed
        fl1.liq, h2.input
                                                            ARE_THE_SAME;
        fll.vap, spl.feed
                                                             ARE_THE_SAME;
        spl.out[1], h3.input
                                                             ARE_THE_SAME;
        spl.out[2],c2.input, s2.input
                                                            ARE_THE_SAME;
                                                            ARE_THE_SAME;
        c2.output, s2.output,m1.feed[1]
(* Conditional statements *)
        WHEN (select_feed1)
          CASE TRUE:
                 USE fl;
          CASE FALSE:
                 USE f2;
        END WHEN;
        WHEN (select_single1)
          CASE TRUE:
                USE cl;
          CASE FALSE:
                 USE s1;
        END WHEN;
        WHEN (select_cheapr1)
          CASE TRUE:
                 USE r1;
          CASE FALSE:
                 USE r2;
        END WHEN;
        WHEN (select_single2)
          CASE TRUE:
                 USE c2;
          CASE FALSE:
                 USE s2;
        END WHEN;
END flowsheet;
```

FIGURE 6 ASCEND model for the superstructure of Figure 5

5.2 LOGICAL RELATIONS

Figure 7 illustrates two examples of the syntax we have used for the implementation of logical relations. Example (b) is simply to show the use of the logical operators AND, OR and NOT. Example (a) is a more complete version of the model presented in Figure 4. In this case we note that the value of the boolean variable (laminar) depends on the truth value of a real expression (condition). In that way, a change in the truth value of the real expression triggers a change in the configuration of the system because of the WHEN statement depending on that boolean variable.

```
(b)
      (a)
laminar
            IS_A boolean;
Re,f,k
            IS A factor;
                                      valve_open
                                                        IS_A boolean;
CONDITION
                                      pump_on
                                                        IS_A boolean;
      condition: Re <= 2100;
                                      full tank
                                                        IS A boolean;
END;
                                      valve_open<==>pump_on AND NOT(full_tank);
laminar <==> SATISFIED(condition);
eq1: Re = 64/f;
eq2: Re = (0.206307/f)^4;
WHEN (laminar)
      CASE TRUE:
            USE eql;
      CASE FALSE:
            USE eq2;
END WHEN;
```

FIGURE 7 Examples of the syntax for the implementation of logical relations

5.3 THE SELECT STATEMENT

Figure 8 shows an ASCEND model which is similar to that shown previously in Figure 4(b). The difference is that we use the SELECT statement rather than the WHEN statement. This time, the symbol 'method' is a constant, and once it is defined, its value will not change. That value will always be a user decision. In the example of Figure 8, only the list of statements in the first CASE are compiled.

5.4 THE SWITCH STATEMENT

The use of the SWITCH statement for the conditional execution of procedural code is illustrated in Figure 9. In that example, the average value of the constant relative volatility is set

to 1.5 if the simplified flash model is used. If the rigorous model is used, then a procedure defining the initial values and degrees of freedom for an adiabatic operation is executed.

FIGURE 8 Application of the SELECT statement.

```
METHODS

METHOD values;

RUN reset;

SWITCH (method)

CASE 'rigorous':

RUN adiabatic;

CASE 'simplified':

ave_alpha := 1.5;

END SWITCH;

END values;

FIGURE 9 Application of the SWITCH statement.
```

6 CONCLUSIONS

We developed an efficient modeling tools for the representation of conditional models in an equation-based environment and discussed the details of their practical implementation. The incorporation of these tools into the ASCEND environment shows how the expressiveness of an equation-based modeling language increases with this extension. Even though the new modeling capabilities are independent of any numerical technique used for the solution of a conditional model, they were developed in such a way that the needs of most of the existent solution algorithms will be met. Our work is currently being focused in the development and implementation of approaches to the solution to conditional models, investigating alternatives to the traditional MINLP techniques. Our experience in the application of the boundary crossing algorithm given by Zaher (1991) and our complementarity representation of conditional models will soon become available.

7 ACKNOWLEDGMENTS

This work has been supported by the Engineering Design Research Center at Carnegie Mellon University, by the Computer Aided Process Design Consortium, and by the "Consejo Nacional de Ciencia y Tecnología (CONACYT)".

8 REFERENCES

- Allan, B. A.; A More Reusable Modeling System; PhD thesis, Department of Chemical Engineering, Carnegie Mellon University, Pittsburgh, Pennsylvania,1997.
- Barton, P. I.; The Modeling and Simulation of Combined Discrete/Continuous Processes. PhD thesis, Department of Chemical Engineering, Imperial College of Science, Technology and Medicine, 1992.
- Barton, P. I. and Pantelides, C. C.; Modeling of Combined Discrete/Continuous Processes. *AIChE Journal*, 40(6):966–979, June 1994.
- Epperly, T. G.; Implementation of an Ascend Interpreter. Technical Report. Engineering Design Research Center. Carnegie Mellon University. Pittsburgh, PA, 1988.
- Grossmann, I. E. and Turkay, M.; Solution of Algebraic Systems of Disjunctive Equations. *Comput. Chem. Eng.*, 20:S339–44, 1996. Suppl. Part A.
- Marquardt, W.; Trends in Computer-Aided Process Modeling. Comput. Chem. Eng., 20(6):591-609, 1996.
- Pantelides, C. C.; SPEEDUP-Recent Advances in Process Simulation. Comput. Chem. Eng., 12(7):745–755, 1988.
- Pantelides, C. C. and Barton, P. I.; Equation-Oriented Dynamic Simulation: Current Status and Future Perspectives. *Comput. Chem. Eng.*, 17S:263–285, 1993.
- Piela, P., Epperly, T., Westerberg, K., Westerberg, A. W.; An Object-Oriented Computer Environment for Modeling and Analysis: The modeling language. *Comput. Chem. Eng.*, 15(1):53–72, 1991.
- Piela, P.; ASCEND: An Object-Oriented Computer Environment for Modeling and Analysis. PhD thesis, Department of Chemical Engineering, Carnegie Mellon University, Pittsburgh, Pennsylvania, April 1989.

- Raman, R. and Grossmann, I. E.; Symbolic Integration of Logic in Mixed-Integer Linear Programming Techniques for Process Synthesis. *Comput. Chem. Eng.*, 17(9):909–927, 1993.
- Raman, R. and Grossmann, I. E.; Modeling and Computational Techniques for Logic Based Integer Programming. *Comput. Chem. Eng.*, 18(7):563–578, 1994.
- Turkay, M. and Grossmann, I. E.; Logic-Based MINLP Algorithms for the Optimal Synthesis of Process Networks. Comput. Chem. Eng., 20(8):959–978, 1996.
- Westerberg, A.W., Abbott, K. A., and Allan, B. A.; Plans for ASCEND IV: Our Next Generation Equational-Based Modeling Environment. Boston, Massachusetts, November 1994. AspenWorld'94.
- Zaher, J. J.; Conditional Modeling. PhD thesis, Department of Chemical Engineering, Carnegie Mellon University, Pittsburgh, Pennsylvania, May 1995.
- Zaher, J. J.; Conditional Modeling in an Equation-Based Modeling Environment. The Annual AIChE National Meeting, 1991. paper 138c.
- Zaher, J. J.; Conditional Programming. The Annual AIChE National Meeting, March 1993.